

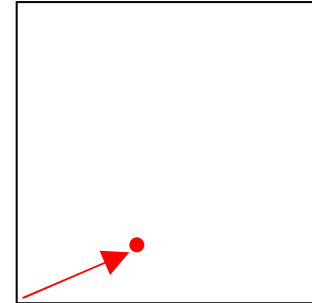
GW-HS

GW – Haydock – Sternheimer

2

# GWHS basic steps

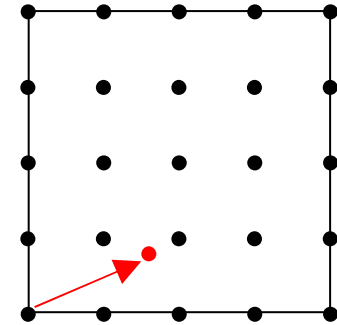
Choose  $k_0$  for  $\Sigma(k_0)$



# GWHS basic steps

Choose  $k_0$  for  $\Sigma(k_0)$

Generate uniform  $\{q\}$  grid for  
the screened Coulomb interaction  $W$

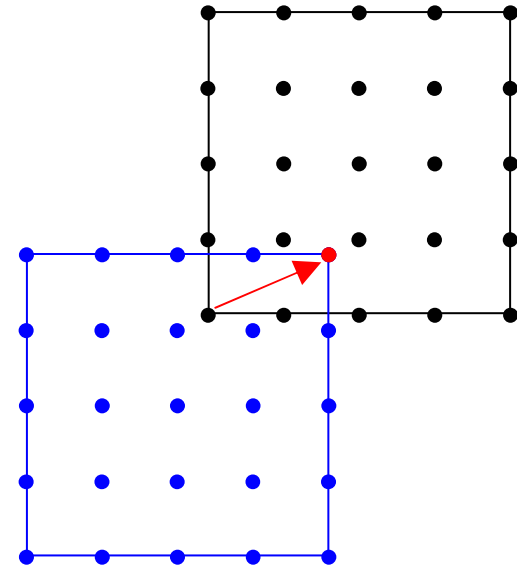


# GWHS basic steps

Choose  $k_0$  for  $\Sigma(k_0)$

Generate uniform  $\{q\}$  grid for  
the screened Coulomb interaction  $W$

Generate uniform  $\{k_0 - q\}$  grid for  
the Green's function  $G$

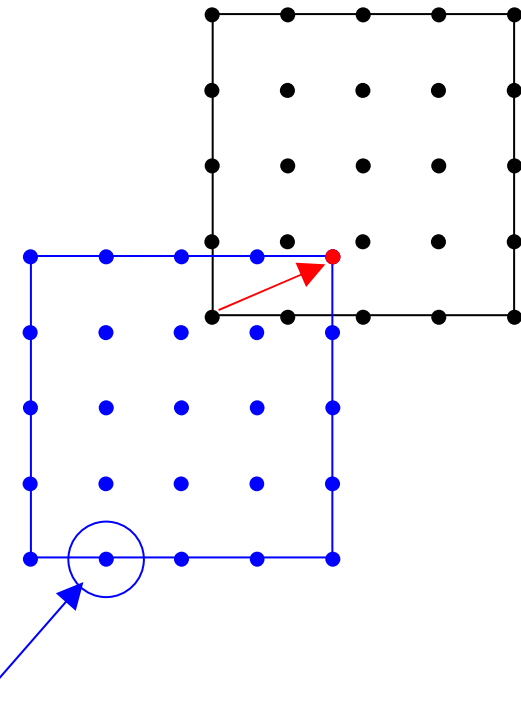


# GWHS basic steps

Choose  $k_0$  for  $\Sigma(k_0)$

Generate uniform  $\{q\}$  grid for  
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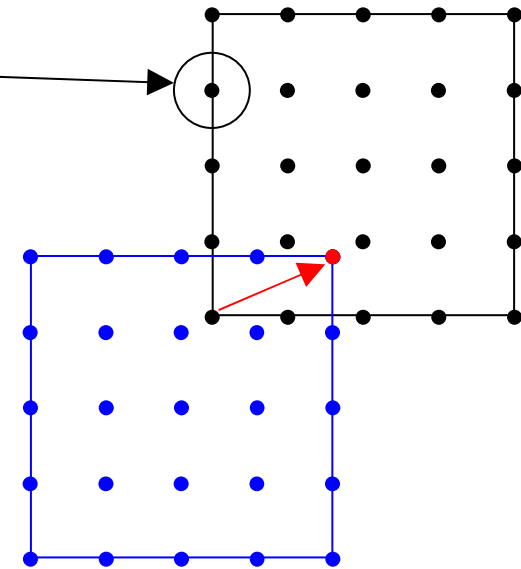
Generate uniform  $\{k_0 - q\}$  grid for  
the Green's function  $G$



Calculate Green's function for every  $k_0 - q$  point

# GWHS basic steps

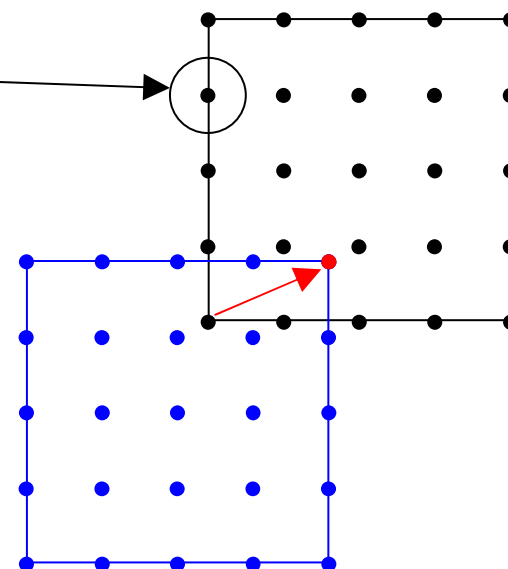
For every  $q$  vector calculate  $W(q)$



# GWHS basic steps

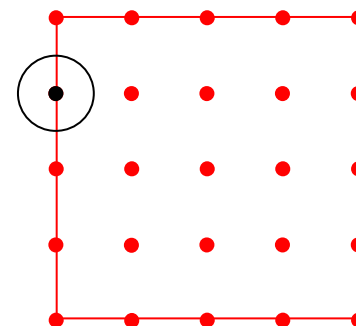
For every  $q$  vector calculate  $W(q)$

We need the variation of the charge density  
→ BZ integral



Set  $\{k\}$  grid equal to  $\{q\}$  grid

Solve Sternheimer for every  $k$  of  $\{k\}$ .



This requires also the  $k+q$  eigenstates → fold  $k+q$  into  $\{k\}$  grid using G-vector maps

# GWHS basic steps

- The occupied wavefunctions are calculated only once at the beginning on the  $\{q\}$  grid
- If we change  $k_0$  for  $\Sigma(k_0)$ , we only need to re-compute the Green's function, the screened Coulomb stays unchanged



# GWHS basic steps

- The occupied wavefunctions are calculated only once at the beginning on the  $\{q\}$  grid
- If we change  $k_0$  for  $\Sigma(k_0)$ , we only need to re-compute the Green's function, the screened Coulomb stays unchanged

What about the frequency ?

Same idea:

Choose  $w_0$  for  $\Sigma(w_0)$

Generate uniform  $\{w\}$  grid for  
the screened Coulomb interaction  $W$

Generate uniform  $\{w_0-w\}$  grid for  
the Green's function  $G$

- If we change  $w_0$  there is no extra cost since Haydock is frequency-independent

# GWHS basic steps

Direct product in real space:  $\Sigma(r,r') = G(r,r') W(r,r')$

Determine Green's function for every  $(G,G')$ , small cutoff

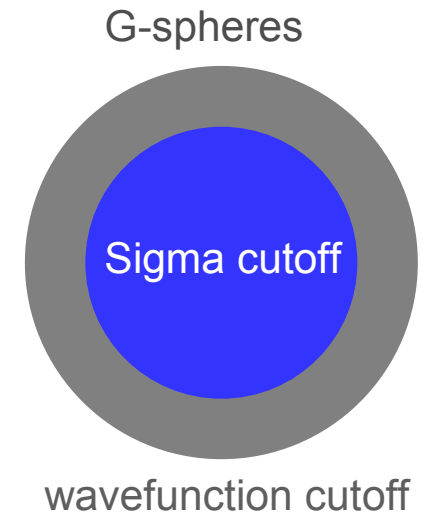
Determine  $W(G,G')$  for every  $G$ , small cutoff

FFT of Green's function to coarse real-space grid

FFT of  $W$  to coarse real-space grid

$\Sigma = G W$  product in real space

I-FFT of  $\Sigma$  to  $G$ -space (small cutoff)



# GWHS basic steps

Direct product in real space:  $\Sigma(r,r') = G(r,r') W(r,r')$

Determine Green's function for every  $(G,G')$ , small cutoff

Determine  $W(G,G')$  for every  $G$ , small cutoff

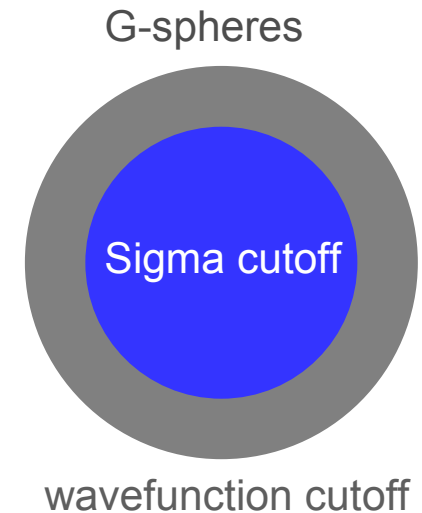
FFT of Green's function to coarse real-space grid

FFT of  $W$  to coarse real-space grid

$\Sigma = G W$  product in real space

I-FFT of  $\Sigma$  to  $G$ -space (small cutoff)

Haydock works fine in  $G$ -space



# Work in progress

- Frequency integration  
(need to use disk to store  $W$  at each frequency)
- Restart of Sternheimer at various  $q$  and  $w$  with previous guess  
I do not get any improvement in convergence – need to understand
- Is G-basis optimal or we can still exploit the periodic sinc functions?

## TODO

- Comparison with BerkeleyGW: plasmon-pole?
- scaling test on silicon supercell

# scaling

Green's function  $N_q \times 4 \times (N_{Gs} \times (N_{Gs} - 1)/2 \text{ Lanczos chains } )$

each chain:

$N_{it\_lanczos} \times ( 2 \text{ scalar products } + 1 \text{ Hamiltonian application } )$

Screened Coulomb  $N_w \times N_q \times N_{Gs} \times ( N_{it\_SCF} \times ( N_q \times 4 \times \text{CG sequences } ) )$

each sequence:

$N_{it\_CG} \times N_{occ} \times ( 2 \text{ scalar products } + 1 \text{ Hamiltonian application } )$

TOTAL  $4 \times N_q \times N_{Gs} \times ( N_w \times N_{it\_SCF} \times N_q \times N_{it\_CG} \times N_{occ} + (N_{Gs} - 1)/2 \times N_{it\_lanczos} )$   
 $\times ( 2 \text{ scalar products } + 1 \text{ Hamiltonian application } )$

# Scaling of Hybertsen/Louie

Calculation of empty states       $N_{Gs} \times N_{occ} \times N_{empty} \times N_q \times N_q$  scalar products

Optical matrix elements       $N_{empty} \times N_q$  ( CG sequences )  
 each sequence:  
 $N_{it\_CG} \times ( 2 \text{ scalar products} + 1 \text{ Hamiltonian application} )$

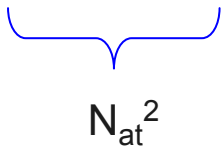
Inversion of epsilon       $N_w \times N_q \times 4/3 ( N_{Gs} )^3$   
 (assuming inversion by LU decomposition)

TOTAL       $N_q \times N_{empty} \times ( N_{Gs} \times N_{occ} \times N_q + 2 \times N_{it\_CG} )$  (scalar products )  
 +  $N_q \times N_{empty} \times N_{it\_CG}$  ( Hamiltonian application )  
 +  $N_q \times N_w \times ( N_{Gs} )^3$

# Comparison

## Assumption

Large systems, Gamma point sampling

|                     |  |  |
|---------------------|--|--|
| Hybertsen/Louie     | $N_{Gs} \times N_{occ} \times$   | $N_{empty}$ (scalar product )  |
| Haydock/Sternheimer | $N_{Gs} \times N_{occ} \times$   | $4 \times N_{it\_SCF} \times N_{it\_CG}$ ( Hamiltonian application ) |
|                     |  |  |

$N_{empty}$  (scalar product )

– This goes as  $N_{Gb} \times N_{empty}$

$4 \times N_{it\_SCF} \times N_{it\_CG}$  ( Hamiltonian application )

– This goes as  $N_{Gb} \times \log N_{Gb}$

$$H = T + V$$

T is applied in  $O(N_G)$  operations

V requires 3 FFTs and 1  $O(N_G)$  operation. FFTs take  $3N_G \log N_G$  operations

# Comparison

## Assumption

Large systems, Gamma point sampling

Hybertsen/Louie  $N_{Gs} \times N_{occ} \times N_{Gb} \times N_{empty}$

Haydock/Sternheimer  $N_{Gs} \times N_{occ} \times N_{Gb} \times \log N_{Gb} \times 12 \times N_{it\_SCF} \times N_{it\_CG}$

Hybertsen/Louie  $N_{at}^4$

Haydock/Sternheimer  $N_{at}^3 \log N_{at}$  – PLANEWAVES



# Comparison

## Assumption

Large systems, Gamma point sampling

Hybertsen/Louie  $N_{Gs} \times N_{occ} \times N_{Gb} \times N_{empty}$

Haydock/Sternheimer  $N_{Gs} \times N_{occ} \times N_{Gb} \times \log N_{Gb} \times 12 \times N_{it\_SCF} \times N_{it\_CG}$

Hybertsen/Louie  $N_{at}^4$

Haydock/Sternheimer  $N_{at}^3 \log N_{at}$   
 $N_{at}^3$

– PLANEWAVES

– LOCAL ORBITALS

$H\psi$  in local orbitals is a  $O(N)$  operation

# Comparison

## CONCLUSION

- Planewaves: The use of  $H_\psi$  operations instead of sums over empty states has a better scaling thanks to FFTs –  $O(N \log N)$
- Local orbitals: The use of  $H_\psi$  operations instead of sums over empty states has a better scaling thanks to sparseness  $O(N)$



Hbar